



PATENT APPLICATION

2653
#7
MD
6-7-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q64529

Mašákazu OGASAWARA, et al.

Appln. No.: 09/863,908

Group Art Unit: 2653

Confirmation No.: 9347

Examiner: Thang V. Tran

Filed: May 24, 2001

For: ABERRATION CORRECTING UNIT, OPTICAL PICKUP APPARATUS, AND
RECORDING/REPRODUCING APPARATUS

STATEMENT UNDER 37 C.F.R. § 1.704(d)

RECEIVED

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

JUN 15 2004

Technology Center 2600

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and that the communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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Stan Torgovitsky
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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: June 10, 2004



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For: **ABERRATION CORRECTING UNIT, OPTICAL PICKUP APPARATUS, AND
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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Technology Center 2600

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing

INFORMATION DISCLOSURE STATEMENT
U.S. Appln. No.: 09/863,908

Atty Dkt No. Q64529

concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

The present Information Disclosure Statement is being filed thirty days or fewer from the communication from a foreign patent office and a Statement Under 37 C.F.R. § 1.704(d) is attached.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents, together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

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Substitute for Form 1449 A & B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/863,908
		Confirmation Number	9347
		Filing Date	May 24, 2001
		First Named Inventor	Masakazu OGASAWARA
		Art Unit	2653
		Examiner Name	Thang V. Tran
Sheet 1 of 1		Attorney Docket Number	Q64529

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 4,572,616		2/25/1986	Kowel et al.
		US 5,787,061	A	7/28/1998	Tsuchiya et al.
		US 6,172,957	B1	1/9/2001	Ogasawara
		US 6,130,872	A	10/20/2000	Sugiura et al.
		US			
		US			
		US			
		US			
		US			

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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		EP	446,949	A1	9/18/91		
		EP	1,011,009	A1	6/21/2000		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		Chen et al., "Method of Compensating for Aberrations in Electron Holography By Using a Liquid-Crystal Spatial-Light Modulator", Vol. 33, No. 7, March 1994 pages 1187-1193	
		Patent Abstracts of Japan, Vol. 1999, No. 1, January 29, 1999 (Abstract of JP 10 269611)	
		Patent Abstracts of Japan, Vol. 1999, No. 3, March 31, 1999 (Abstract of JP 10 319318)	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.